

6/8-201 . . . A

71534 U.S. PTO



06/05/97

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Small Entity)

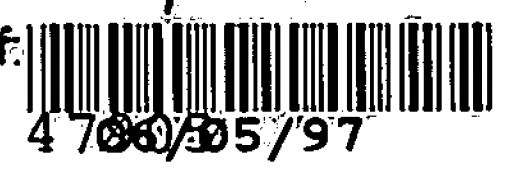
Docket No.  
11002-29

TO THE ASSISTANT COMMISSIONER FOR PATENTS

60854 U.S. PTO  
08/869331

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Stephen R. Ash, 3736 Pershing Drive, Lafayette, Indiana



For: METHOD FOR IRON DELIVERY TO A PATIENT BY TRANSFER FROM DIALYSATE

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No.
- ☒ Three (3) sheets of drawings.
- ☒ A certified copy of a U. S. Patent application.
- ☒ Declaration ☐ Signed. ☒ Unsigned.
- ☒ Power of Attorney
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ Copies of Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☐ Other:

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	23	- 20 =	3	x \$11.00	33.00
Indep. Claims	8	- 3 =	5	x \$40.00	200.00

Multiple Dependent Claims (check if applicable) ☐

**BASIC FEE** \$385.00

**TOTAL FILING FEE** 618.00

- ☒ A check in the amount of \$618.00 to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of as filing fee.
  - ☐ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. 23-3030
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: June 5, 1997

"Express Mail" label number

EM 235568075 US

Date of Deposit

June 5, 1997

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

cc:

*Bonnie L. Hopkins*

*Kenneth A. Gandy*  
Signature  
Kenneth A. Gandy Reg. No. 33,386  
Woodard Emhardt Naughton Moriarty  
& McNett  
111 Monument Circle, Suite 3700  
Indianapolis, Indiana 46204

## FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

690.00

### Complete if Known

Application Number	08/869331
Filing Date	6/5/97
First Named Inventor	Ash, Stephen R.
Group Art Unit	1614
Examiner Name	Schenkman, L.
Attorney Docket Number	11002-29

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

23-3030

Woodard, Emhardt et al.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
Independent Claims -20 =		X	
Multiple Dependent Claims -3 =		X	

#### Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim	
109 82	209 41	Reissue independent claims over original patent	
110 22	210 11	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	660.00
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

10 PATENT COPIES

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

30.00

### SUBMITTED BY

Typed or  
Printed Name

STEVE ZLATOS

Signature

Steve Zlatos

Date

8-14-98

Reg. Number

30123

Deposit Account  
User ID

23-3030

# WOODARD, EMHARDT, NAUGHTON, MORIARTY & McNETT

PATENT AND TRADEMARK ATTORNEYS

BANK ONE CENTER/TOWER

111 MONUMENT CIRCLE, SUITE 3700  
INDIANAPOLIS, INDIANA 46204-5137

(317) 634-3456

FAX (317) 637-7561

HAROLD R. WOODARD  
C. DAVID EMHARDT  
JOSEPH A. NAUGHTON  
JACK V. MORIARTY  
JOHN C. McNETT  
THOMAS O. HENRY  
JAMES M. DURLACHER  
CHARLES R. REEVES  
VINCENT O. WAGNER  
STEVE ZLATOS  
SPIRO BEREVESKOS  
WILLIAM F. BAHRET

CLIFFORD W. BROWNING  
R. RANDALL FRISK  
DANIEL J. LUEDERS  
KENNETH A. GANDY  
TIMOTHY N. THOMAS  
KERRY P. SISSELMAN  
KURT N. JONES  
JOHN H. ALLIE  
HOLIDAY W. BANTA  
TROY J. COLE  
L. SCOTT PAYNTER  
J. ANDREW LOWES

CHARLES J. MEYER  
DARRIN WESLEY HARRIS  
MATTHEW R. SCHANTZ  
GREGORY B. COY  
LISA A. HIDAY  
JOHN V. DANILUCK  
CHRISTOPHER A. BROWN  
C. JOHN BRANNON  
JASON J. SCHWARTZ  
ARTHUR J. USHER, IV

JAMES L. ROWE  
OF COUNSEL  
LINDA C. SHELBY  
STAFF ATTORNEY  
CHARLES P. JACOBS  
(1837-1892)  
VIRGIL H. LOCKWOOD  
(1860-1932)  
RALPH G. LOCKWOOD  
(1890-1957)

August 14, 1998

Hon. Assistant Secretary and  
Commissioner of Patents  
and Trademarks  
Box Issue Fees  
Washington D.C. 20231

Sir:

The enclosed check No. 63100 covers issue fee payment in the amount and manner set forth below. Please charge any additional fees which may be due or credit any overpayments to deposit account No. 23-3030. (If the amount is insufficient, please pay those with the earliest deadlines first and promptly notify us of any insufficiency as soon as possible.

1. Issue fee due on Stephen R. Ash application, Ser. No. 869,331 entitled  
METHOD FOR IRON DELIVERY TO A PATIENT BY TRANSFER FROM  
DIALYSATE - see attached sheet

\$660.00

TOTAL BALANCE TO BE PAID:

\$660.00

Sincerely,

*Kari K. Woehler*  
Kari K. Woehler

lds  
Enclosures

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on August 14, 1998.

Stephen E. Zlatos

Name of Registered Representative

Signature

Date of Signature